

## FAX TRANSMITTAL SHEET

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Date: November 3, 2003

Fax to: **Examiner Hau H. Nguyen**

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From: Michael P. Mazza

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(including transmittal sheet)

Comments: Here is a revised draft Amendment. The only difference from the one previously sent on October 29 is that a new Claim 17 has been added. As we discussed, I look forward to speaking with you this week concerning this case. Thank you.

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DRAFT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

THOMAS A. MAZZA ET AL.

SERIAL NO.: 09/733,141

FILED: 12/08/2000

FOR: TRADING SYSTEM CONTROLLER

Group Art Unit: 2676

Examiner: H. Nguyen

**RULE 116 AMENDMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**IN THE CLAIMS:**

1. (Currently amended) A control unit sized to permit it to be hand-held during the performance of trading operations, the control unit being in electrical communication with one or more computers for use in performing electronic trading operations, the one or more computers communicating with at least one visual display for displaying to an operator of the control unit trading-related information, comprising:  
trading control buttons having differing shapes, sizes and/or textures to provide the operator with tactile feedback sufficient to allow the operator to distinguish between the trading control buttons substantially without visually focusing on the buttons during trading operations;  
wherein allowing the operator can to perform trading operations without the need for sliding the control unit over a surface and permitting the operator to maintain substantially constant visual contact with the at least one visual display,